

# PRI Resolution Database user guide

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For any questions or support email [collaborations@unpri.org](mailto:collaborations@unpri.org)



## Disclaimer

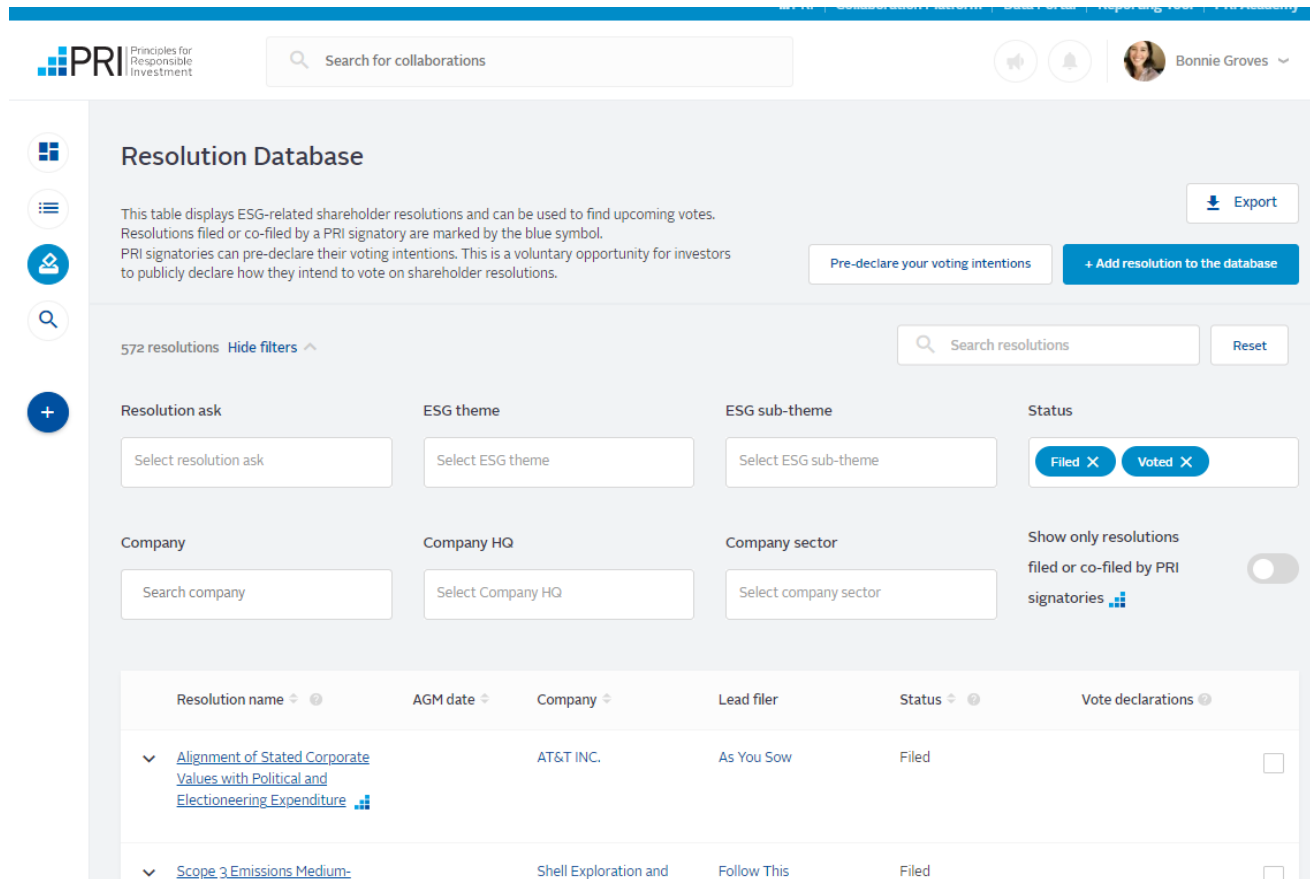
PRI Association is committed to compliance with all applicable laws and does not seek, require or endorse individual or collective decision-making or action that is not in compliance with those laws. Participants must not share or exchange non-public, competitively sensitive information. Participants must avoid coordination of strategic behaviour that impacts competition. Participants must make independent decisions regarding next steps and how they will pursue them. Any decision by signatories to take action with respect to acquiring, holding, disposing and/or voting of securities shall be at their sole discretion and made in their individual capacities. Pre-declarations are non-binding and submitting a pre-declaration does not cast a vote or confer authority on the PRI to vote on behalf of its signatories. PRI signatories are also encouraged to consult their own counsel as appropriate.

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# The PRI Resolution Database

This publicly accessible database of ESG-related resolutions and votes can be used to easily find upcoming AGM votes, as well as to track the results of key proposals that have been voted on.

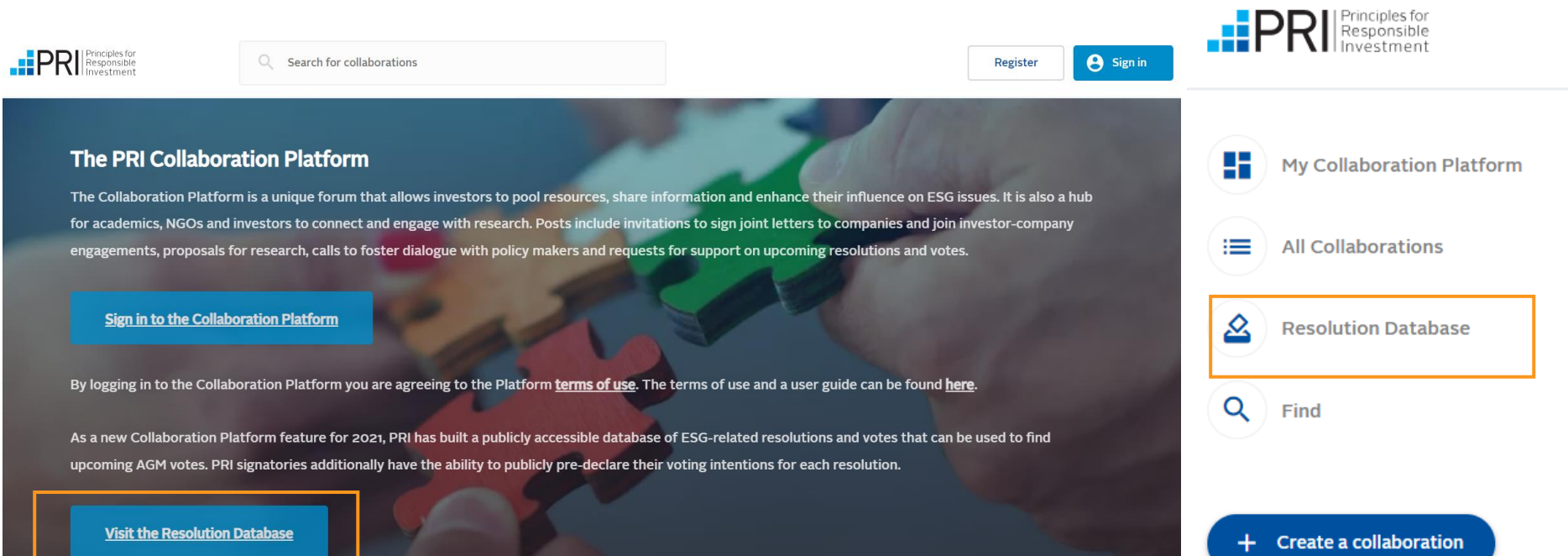


The screenshot shows the PRI Resolution Database interface. At the top, there's a navigation bar with the PRI logo and a search bar for collaborations. Below this, the main header reads 'Resolution Database' with a brief description of the database's purpose. To the right of the header are buttons for 'Export', 'Pre-declare your voting intentions', and '+ Add resolution to the database'. A sidebar on the left contains navigation icons. The main content area features a search bar for resolutions and a 'Reset' button. Below the search bar are several filter sections: 'Resolution ask', 'ESG theme', 'ESG sub-theme', 'Status' (with 'Filed' and 'Voted' filters), 'Company', 'Company HQ', 'Company sector', and a toggle for 'Show only resolutions filed or co-filed by PRI signatories'. At the bottom, a table displays a list of resolutions with columns for Resolution name, AGM date, Company, Lead filer, Status, and Vote declarations.

Resolution name	AGM date	Company	Lead filer	Status	Vote declarations
Alignment of Stated Corporate Values with Political and Electioneering Expenditure		AT&T INC.	As You Sow	Filed	<input type="checkbox"/>
Scope 3 Emissions Medium-		Shell Exploration and	Follow This	Filed	<input type="checkbox"/>

## Accessing the PRI Resolution Database

Another method to access the database is by selecting the [visit the Resolution Database] button on [this webpage](#). You can also access the Resolution Database using the navigation bar of the Collaboration Platform.



The screenshot displays the PRI Collaboration Platform interface. At the top left is the PRI logo (Principles for Responsible Investment). A search bar labeled "Search for collaborations" is positioned next to it. To the right are "Register" and "Sign in" buttons. The main content area features a large image of hands assembling puzzle pieces. Overlaid on this is the text "The PRI Collaboration Platform" followed by a description of the platform as a forum for investors, academics, and NGOs. Below this is a blue button labeled "Sign in to the Collaboration Platform". Further down, a paragraph states that logging in involves agreeing to the platform's terms of use, with links to the terms and a user guide. Another paragraph mentions a new 2021 feature: a publicly accessible database of ESG-related resolutions and votes. A blue button labeled "Visit the Resolution Database" is highlighted with an orange border. On the right side, a vertical navigation bar includes links for "My Collaboration Platform", "All Collaborations", "Resolution Database" (highlighted with an orange border), and "Find". At the bottom right is a large blue button labeled "+ Create a collaboration".

**The PRI Collaboration Platform**

The Collaboration Platform is a unique forum that allows investors to pool resources, share information and enhance their influence on ESG issues. It is also a hub for academics, NGOs and investors to connect and engage with research. Posts include invitations to sign joint letters to companies and join investor-company engagements, proposals for research, calls to foster dialogue with policy makers and requests for support on upcoming resolutions and votes.

[Sign in to the Collaboration Platform](#)

By logging in to the Collaboration Platform you are agreeing to the Platform [terms of use](#). The terms of use and a user guide can be found [here](#).

As a new Collaboration Platform feature for 2021, PRI has built a publicly accessible database of ESG-related resolutions and votes that can be used to find upcoming AGM votes. PRI signatories additionally have the ability to publicly pre-declare their voting intentions for each resolution.

[Visit the Resolution Database](#)

**Navigation Bar:**

- My Collaboration Platform
- All Collaborations
- Resolution Database**
- Find
- + Create a collaboration

## Who can do what on the Resolution Database?

	Public	Registered to the Collaboration Platform (including non-Signatories)	Signatories
See ESG resolutions & votes	✓	✓	✓
Add a resolution or vote to the Resolution Database		✓	✓
Pre-declare a voting intention on a resolution			✓
See how signatories have pre-declared their voting intention	✓	✓	✓
Follow companies and other engagement focus organisations for tailored notifications		✓	✓
Sign up to the Resolution Alert			✓
Export resolutions and votes on the Resolution Database			✓

## Adding a resolution, proposal or vote

The screenshot shows the PRI Resolution Database interface. At the top, there's a search bar for collaborations and a user profile for Bonnie Groves. The main section is titled 'Resolution Database' and includes a description of the table's purpose. A blue button labeled '+ Add resolution to the database' is highlighted with an orange box. Below this, there are filter sections for Resolution ask, ESG theme, ESG sub-theme, Status, Company, Company HQ, and Company sector. A table at the bottom lists resolutions with columns for Resolution name, AGM date, Company, Lead filer, Status, and Vote declarations.

**Resolution Database**

This table displays ESG-related shareholder resolutions and can be used to find upcoming votes. Resolutions filed or co-filed by a PRI signatory are marked by the blue symbol. PRI signatories can pre-declare their voting intentions. This is a voluntary opportunity for investors to publicly declare how they intend to vote on shareholder resolutions.

572 resolutions [Hide filters](#)

[Pre-declare your voting intentions](#) [+ Add resolution to the database](#) [Export](#)

Search resolutions [Reset](#)

**Resolution ask**  
Select resolution ask

**ESG theme**  
Select ESG theme

**ESG sub-theme**  
Select ESG sub-theme

**Status**  
[Filed](#) [Voted](#)

**Company**  
Search company

**Company HQ**  
Select Company HQ

**Company sector**  
Select company sector

Show only resolutions filed or co-filed by PRI signatories ☐

Resolution name	AGM date	Company	Lead filer	Status	Vote declarations
Alignment of Stated Corporate Values with Political and Electioneering Expenditure		AT&T INC.	As You Sow	Filed	<input type="checkbox"/>
Scope 3 Emissions Medium-		Shell Exploration and	Follow This	Filed	<input type="checkbox"/>

+ Add resolution to the database

- Anyone can add a resolution or vote to the Database
- Simply [register or log in](#) to the Collaboration Platform, navigate to the Resolution Database, and select 'Add a resolution to the database'
- You can add shareholder resolutions, management proposals and director votes
- PRI aims to approve and make public all submitted resolutions within 1 working day

### Add a resolution to the database

Resolutions will not display in the database until they have been approved by a PRI Admin.

Resolution name\*

Company\*

Type of vote

Select an option

Filer type ?

☐

Shareholder

☐

Management

Lead filer\* ?

Select an option

Co-filers

Select an option

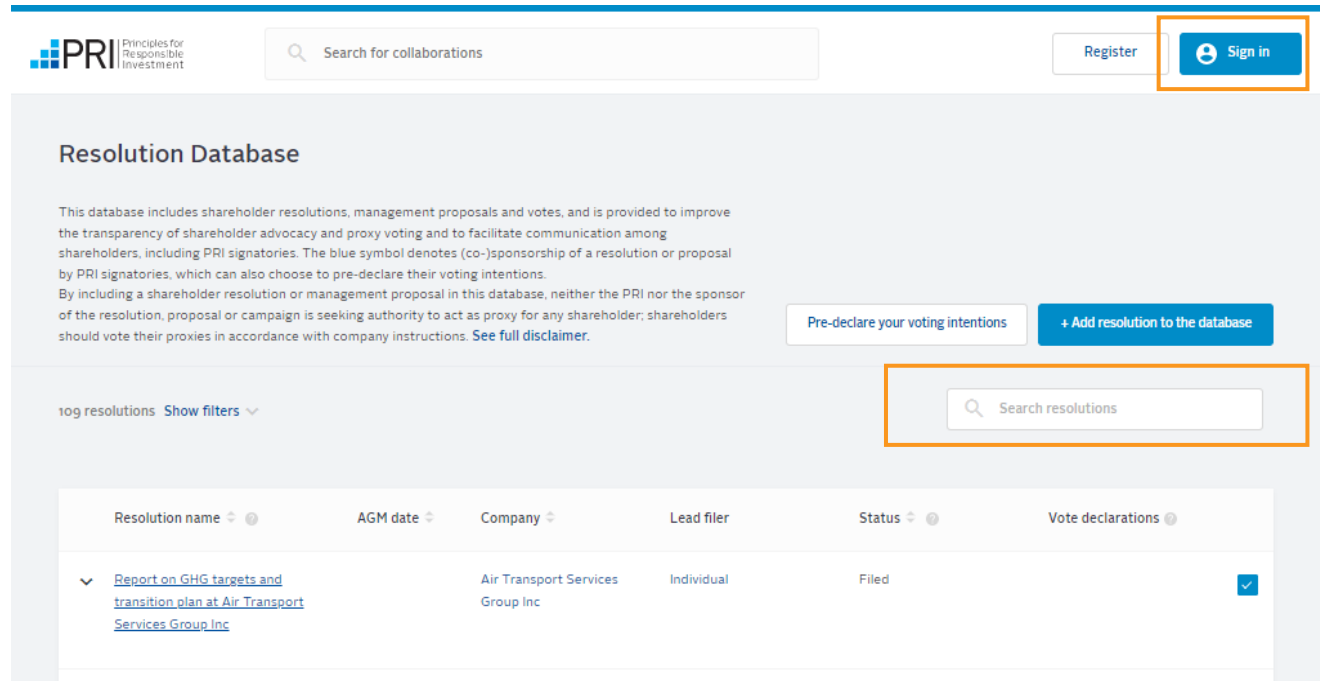
Linked collaboration ?

Submit



## Adding supporting documents

- Only the filers of a resolution can add supporting documents.
- Step 1:** [Log in](#) to the Resolution Database
- Step 2:** Find the resolution/vote of your interest.



**PRI** Principles for Responsible Investment

Search for collaborations

Register Sign in

### Resolution Database

This database includes shareholder resolutions, management proposals and votes, and is provided to improve the transparency of shareholder advocacy and proxy voting and to facilitate communication among shareholders, including PRI signatories. The blue symbol denotes (co-)sponsorship of a resolution or proposal by PRI signatories, which can also choose to pre-declare their voting intentions. By including a shareholder resolution or management proposal in this database, neither the PRI nor the sponsor of the resolution, proposal or campaign is seeking authority to act as proxy for any shareholder; shareholders should vote their proxies in accordance with company instructions. [See full disclaimer.](#)

Pre-declare your voting intentions + Add resolution to the database

109 resolutions Show filters

Search resolutions


Resolution name	AGM date	Company	Lead filer	Status	Vote declarations
Report on GHG targets and transition plan at Air Transport Services Group Inc		Air Transport Services Group Inc	Individual	Filed	<input checked="" type="checkbox"/>

- **Step 3:** Once you are on the individual resolution page, click on the circular button in the top right corner
- **Step 4:** Select [edit resolution details] and scroll down to the [supporting materials] section.
- **Step 5:** Make sure to click on the blue [upload] button after adding the files.

**CAMPBELL SOUP COMPANY** | Protect Future Retirement Plan Beneficiaries From Portfolio Climate Risk at CAMPBELL SOUP COMPANY

✓ Status Filed ⌚ Previous AGM date 20/11/2022 [Declare voting intentions](#)

Supporting materials ?



Drop files here to upload them

or

[Add files](#)

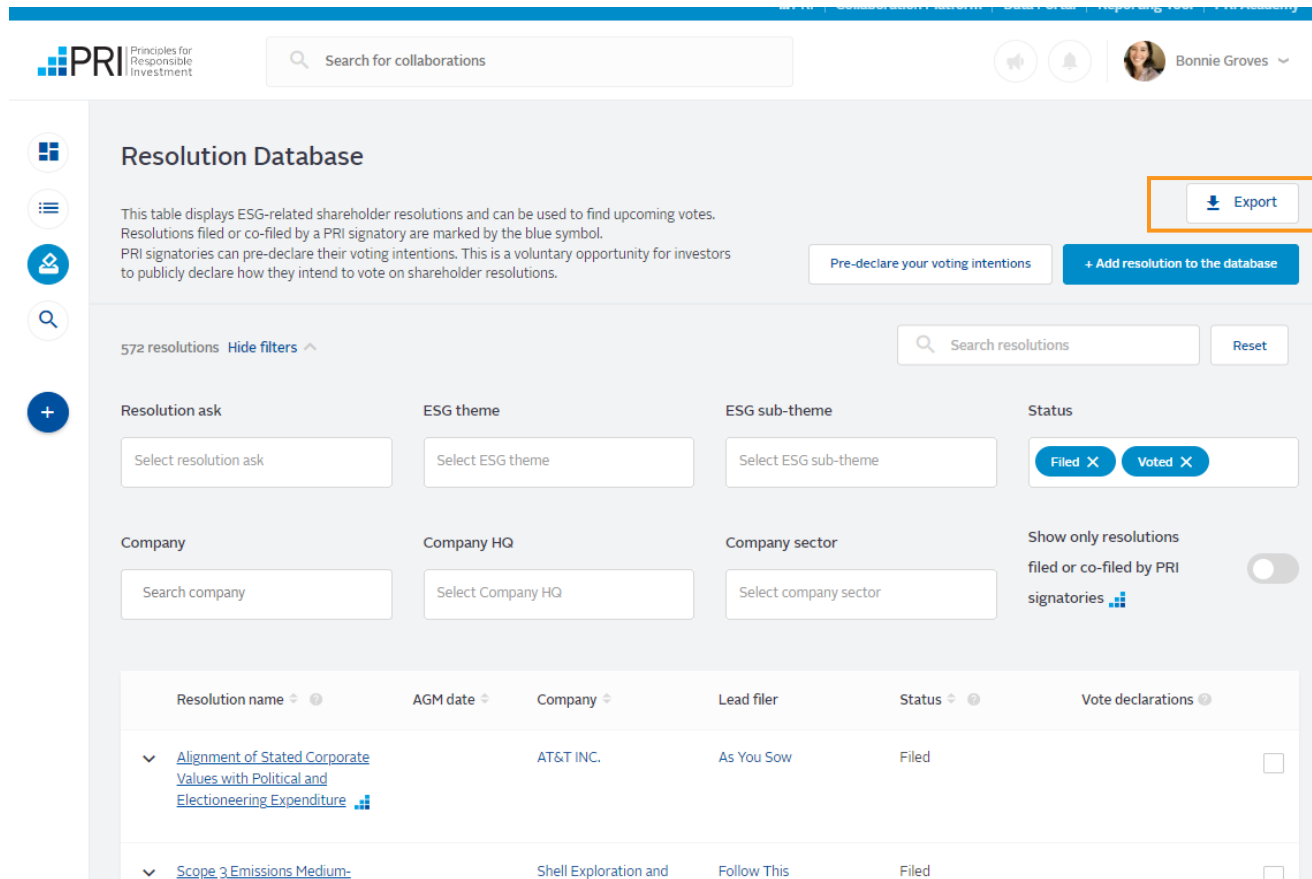
? Upload requirements

- Maximum 10 files.
- 15 MB limit.
- Allowed types: doc docx pdf xls xlsx gif png jpg jpeg .

[Upload](#)

# Exporting resolutions

Log in to the Collaboration Platform to see all features, including ability to export. This is a signatory only feature.

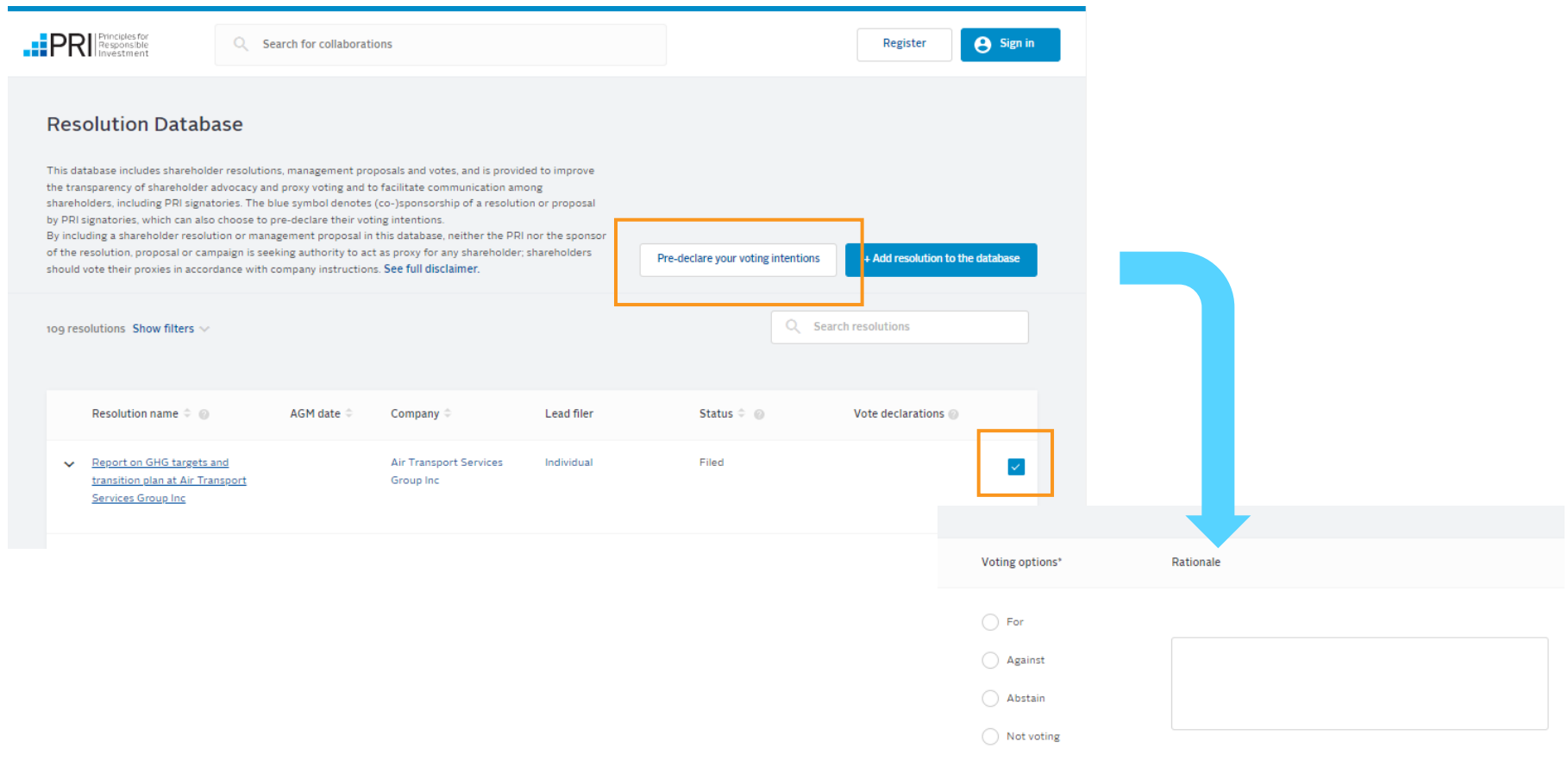


The screenshot shows the PRI Resolution Database interface. At the top, there's a navigation bar with the PRI logo and a search bar. Below the navigation bar, the main content area is titled "Resolution Database". A blue box highlights the "Export" button in the top right corner of the main content area. Below the title, there's a description of the database and two buttons: "Pre-declare your voting intentions" and "+ Add resolution to the database". Below this, there's a search bar and a "Reset" button. The main content area is divided into several filter sections: "Resolution ask", "ESG theme", "ESG sub-theme", "Status", "Company", "Company HQ", "Company sector", and "Show only resolutions filed or co-filed by PRI signatories". Below the filters, there's a table of resolutions.

Resolution name	AGM date	Company	Lead filer	Status	Vote declarations
Alignment of Stated Corporate Values with Political and Electioneering Expenditure		AT&T INC.	As You Sow	Filed	<input type="checkbox"/>
Scope 3 Emissions Medium-		Shell Exploration and	Follow This	Filed	<input type="checkbox"/>

# Pre-declaring your voting intentions

For more information, refer to our [disclaimer](#) on pre-declarations. Pre-declarations are public and will show next to the vote on database, with any rationales included being visible when you view the individual resolution.



The screenshot displays the PRI Resolution Database interface. At the top, there is a search bar for collaborations and buttons for Register and Sign in. The main section is titled 'Resolution Database' and includes a descriptive paragraph about the database's purpose. Below this, there are two buttons: 'Pre-declare your voting intentions' (highlighted with an orange box) and '+ Add resolution to the database'. A large blue arrow points from the 'Pre-declare your voting intentions' button to a detailed view of a resolution. This view shows a table with columns: Resolution name, AGM date, Company, Lead filer, Status, and Vote declarations. A sample resolution is listed: 'Report on GHG targets and transition plan at Air Transport Services Group Inc', with status 'Filed'. The 'Vote declarations' column for this resolution has a checkbox (highlighted with an orange box) that is checked. Below the table, there is a section for 'Voting options\*' with radio buttons for 'For', 'Against', 'Abstain', and 'Not voting'. To the right of these options is a text area labeled 'Rationale'.

**Resolution Database**

This database includes shareholder resolutions, management proposals and votes, and is provided to improve the transparency of shareholder advocacy and proxy voting and to facilitate communication among shareholders, including PRI signatories. The blue symbol denotes (co-)sponsorship of a resolution or proposal by PRI signatories, which can also choose to pre-declare their voting intentions. By including a shareholder resolution or management proposal in this database, neither the PRI nor the sponsor of the resolution, proposal or campaign is seeking authority to act as proxy for any shareholder; shareholders should vote their proxies in accordance with company instructions. [See full disclaimer.](#)

109 resolutions [Show filters](#)

[Pre-declare your voting intentions](#) [+ Add resolution to the database](#)

Search resolutions

Resolution name	AGM date	Company	Lead filer	Status	Vote declarations
✓ <a href="#">Report on GHG targets and transition plan at Air Transport Services Group Inc</a>		Air Transport Services Group Inc	Individual	Filed	<input checked="" type="checkbox"/>

**Voting options\***

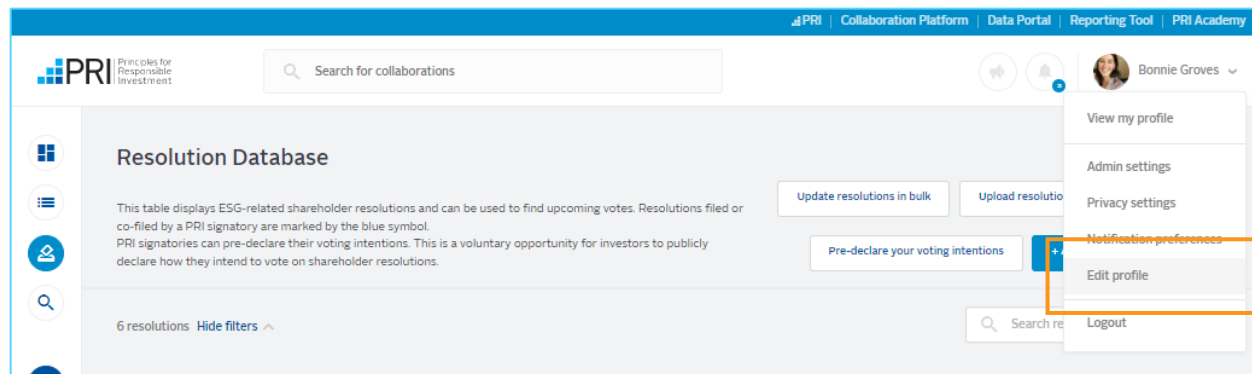
☐ For  
☐ Against  
☐ Abstain  
☐ Not voting

**Rationale**

## Signing up to the Resolution Alert

If you have not registered to the Collaboration Platform visit [collaborate.unpri.org](https://collaborate.unpri.org) to register for an account. You can select your newsletter settings when you register.

If you have registered to the Collaboration Platform, log in to your account and edit your profile settings to select your newsletter preferences. See below.



# Following companies and receive notifications

Follow a company to receive notifications when a collaboration, resolution or vote is added relating to the company.

My Collaboration Platform

All Collaborations

Resolution Database

Find

Engagement focus organisations

Collaborating organisations

Individual user profiles

Create a collaboration

Search for collaborations

Find

Engagement focus organisations

Collaborating organisations

Individual user profiles

Engagement focus organisations are the entities being engaged or targeted through a collaborative activity and include individual companies, policy makers, regulators and more.

3465 results

Hide filters

Sector

- Any -

Country

- Any -

2 Sisters Group

0 collaborations

Consumer St...

☆

21st Century Fox America, inc.

0 collaborations

Consumer Di...

☆

2U, Inc.

0 collaborations

Technology

☆

3D Systems

0 collaborations

Technology

☆

3M Company

2 collaborations

Industrials


☆

7-Eleven

1 collaboration

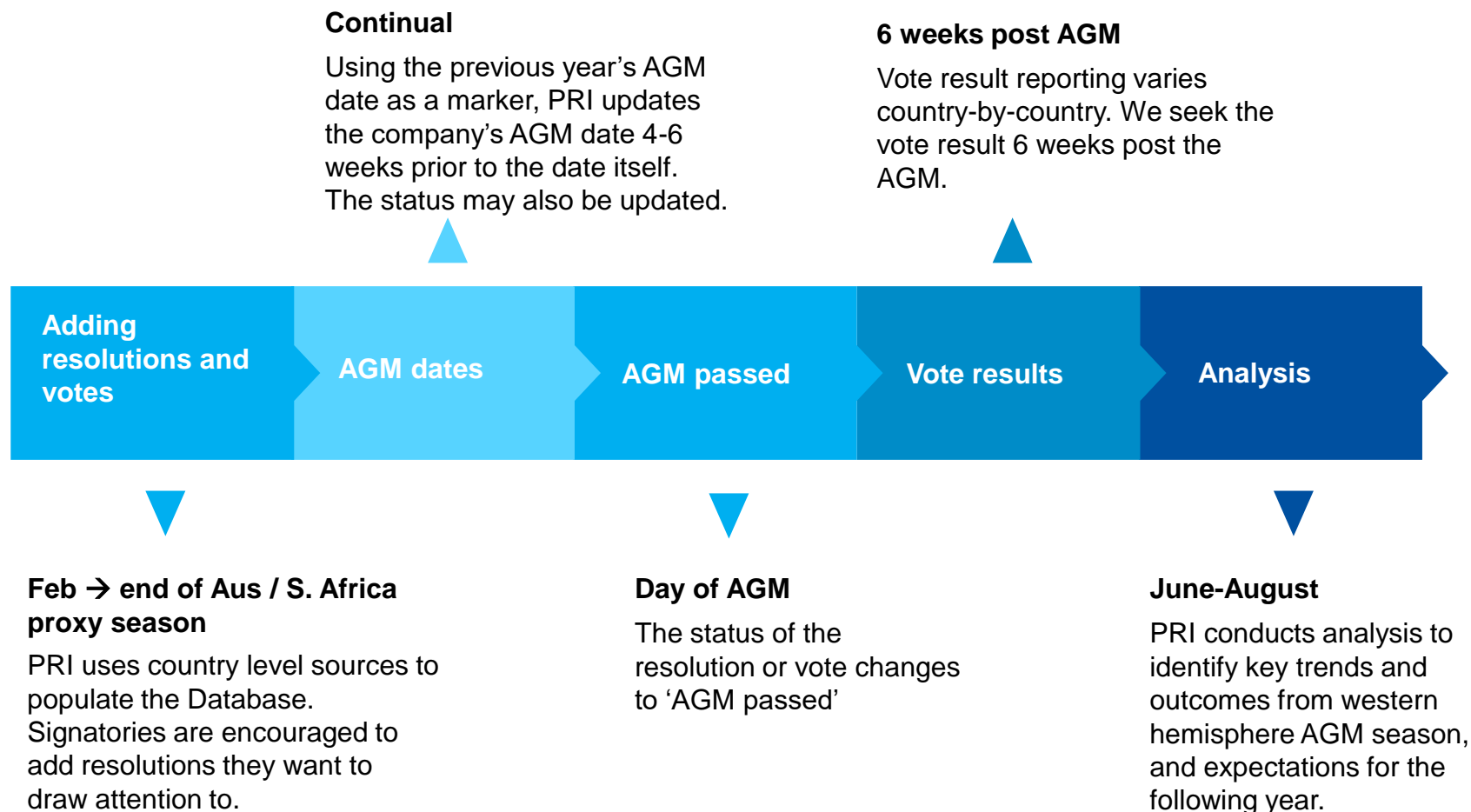
Consumer St...

☆

 Principles for Responsible Investment

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# Resolution statuses and vote result updates



# Contact

If you have any technical issues or suggestions, please contact [collaborations@unpri.org](mailto:collaborations@unpri.org).